



COTC

PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/782,587, Pat. No. 6,806,063
Filing Date	February 12, 2001
First Named Inventor	Anders Hjelholt Pedersen
Art Unit	1654
Examiner Name	Roy R. Teller
Attorney Docket Number	0212us310

ENCLOSURES (Check all that apply)

- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Reply to Missing Parts/
Incomplete Application
<input type="checkbox"/> Reply to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers

<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Request for Refund

<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC

<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences

<input type="checkbox"/> Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

<input type="checkbox"/> Proprietary Information

<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify
below):
Request for Certificate of Correction;
Certificate of Correction + one copy; Copies
of Notices of Recordation, two
Assignments, and letter |
|---|--|---|

Remarks

Certificate
JUL 25 2007
of Correction

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	MAXYGEN, INC.		
Signature			
Printed name	MARGARET A. POWERS		
Date	July 19, 2007	Reg. No.	39,804

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Mail Stop: Certificate of Correction

Signature	<u>Ann Massey</u>		
Typed or printed name	<u>Ann Massey</u>	Date	<u>19 Jul 2007</u>

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUL 30 2007

01 JUL 23 2007
PATENT & TRADEMARK OFFICE

PTO/SB/17 (07-07)

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2007

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100.00

Complete if Known

Application Number	09/782,587 Pat. No. 6,806,063
Filing Date	February 12, 2001
First Named Inventor	Anders Hjelholt Pedersen
Examiner Name	Roy R. Teller
Art Unit	1654
Attorney Docket No.	0212us310

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 50-0990 Deposit Account Name: Maxygen, Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>
- 20 or HP =	x	=		<u>Fee (\$)</u>
				<u>Fee Paid (\$)</u>

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 3 or HP =	x	=	

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

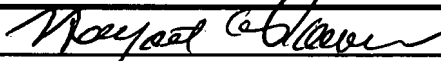
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Certificate of Correction Surcharge 100.00

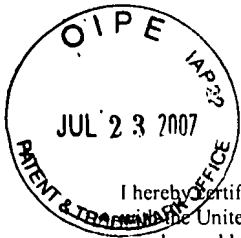
SUBMITTED BY

Signature		Registration No. 39,804 (Attorney/Agent)	Telephone 650-298-5300
Name (Print/Type)	MARGARET A. POWERS		Date July 19, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUL 30 2007



Attorney Docket No. 0212us310

I hereby certify that this correspondence is being deposited
in the United States Postal Service as first class mail in an
envelope addressed to: Mail-Stop: Certificate of Correction,
Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

on 19 July 2007

By: Ann Massey
Ann Massey

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Anders Hjelholt Pedersen et al.

Patent No: 6,806,063 B2

Issue Date: October 19, 2004

Application No: 09/782,587

Filed: February 12, 2001

For: FACTOR VII OR VIIA-LIKE
MOLECULES

Examiner: Roy R. Teller

Art Unit: 1654

Confirmation No. 9481

**REQUEST FOR CERTIFICATE OF
CORRECTION**

Mail-Stop: Certificate of Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.323, Applicant submits a Request for Certificate of Correction to correct the Assignee information listed on the front page of U.S. Patent No. 6,806,063 B2. The issued patent presently lists two Assignees: Maxygen ApS (Hoersholm (DK) and Maxygen Holdings Ltd., Grand Cayman, (KY). However, as shown in the enclosed documents, Maxygen ApS assigned all of its interest in the above-identified application (USSN 09/782,587), which issued as U.S. Pat. No. 6, 806,063, to Maxygen Holdings Ltd. Enclosed are the following documents:

- (a) a copy of the Notice of Recordation dated October 17, 2005, with copies of the Restated Assignment recorded at Reel/Frame 017089/0699 and a letter dated

JUL 30 2007

September 21, 2005 regarding the Restated Assignment; and
(b) a copy of the Notice of Recordation dated October 17, 2005, with a copy of the
Assignment recorded at Reel/Frame 017093/0525.

Thus, Maxygen Holdings Ltd. is the sole assignee of U.S. Patent No. 6,806,063 B2. It is
respectfully requested that a Certificate of Correction be issued to delete Maxygen ApS,
Hoersholm (DK) as an Assignee on the front page of this patent.

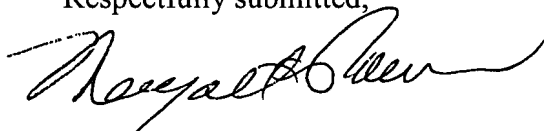
The desired correction is shown on the proposed Certificate of Correction, Form PTO-
1050, which is enclosed herewith in duplicate. It is respectfully requested that the Certificate of
Correction be issued to correct this error.

Pursuant to 37 CFR § 1.323, the Commissioner is hereby authorized to deduct the fee of
\$100 set forth in 37 CFR § 1.20(a) from the undersigned's Deposit Account No. 50-0990. The
Commissioner is authorized to deduct any additional required fees associated with this
submission or credit any overpayments to the undersigned Deposit Account No. 50-0990.

July 19, 2007

Maxygen, Inc.
Intellectual Property Department
515 Galveston Drive
Redwood City, CA 94063
Telephone: 650-298-5300
Facsimile: 650-298-5446
Customer No: 30560

Respectfully submitted,



Margaret A. Powers
Reg. No. 39,804

JUL 30 2007

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 6,806,063 B2

APPLICATION NO.: 09/782,587

ISSUE DATE : October 19, 2004

INVENTOR(S) : Anders Hjelholt Pedersen et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page after the term "Assignees", please delete the Assignee "Maxygen ApS Hoersholm (DK)".

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Maxygen, Inc., Patent Department, 515 Galveston Drive, Redwood City, CA 94063

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUL 30 2007

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 6,806,063 B2

APPLICATION NO.: 09/782,587

ISSUE DATE : October 19, 2004

INVENTOR(S) : Anders Hjelholt Pedersen et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page after the term "Assignees", please delete the Assignee "Maxygen ApS Hoersholm (DK)".

COPY

MAILING ADDRESS OF SENDER (Please do not use customer number below):

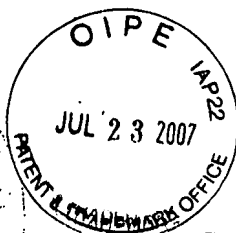
Maxygen, Inc., Patent Department, 515 Galveston Drive, Redwood City, CA 94063

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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FEB 2006
RECEIVED
(INT. PROPERTY)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 31, 2006

PTAS



103103787A

JOANNE R. PETITHORY
MAXYGEN, INC.
PATENT DEPT.
515 GALVESTON DRIVE
REDWOOD CITY, CA 94063

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 10/17/2005

REEL/FRAME: 017089/0699
NUMBER OF PAGES: 5

BRIEF: RESTATED ASSIGNMENT (PLUS COVER SHEET)

ASSIGNOR:

MAXYGEN APS

DCC DATE: 09/21/2005

ASSIGNEE:

MAXYGEN HOLDINGS LTD.
103 SOUTH CHURCH STREET, P.O. BOX
1034 GT
C/O CLOSE BROTHERS (CAYMAN)
LIMITED
GRAND CAYMAN, CAYMAN ISLANDS

SERIAL NUMBER: 09782587

PATENT NUMBER: 6806063

TITLE: FACTOR VII OR VIIA-LIKE MOLECULES

FILING DATE: 02/12/2001

ISSUE DATE: 10/19/2004

DOCKETED on/by 2/18/04/ cam

Atty. PA JEP

File # 0212.310 US

Due Date — Ext —

Final Date —

cc: MaxyAPS ✓

30 2007

017089/0699 PAGE 2

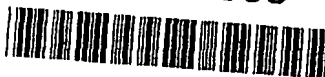
MARY BENTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

11, 30 2007

10-20-2005

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RE



103103787

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Maxygen ApS

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) Sept 21, 2005

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Restated Assignment (plus cover letter)

2. Name and address of receiving party(ies)

Name: Maxygen Holdings Ltd.

Internal Address: c/o Close Brothers (Cayman) Limited

Street Address: 103 South Church Street

P.O. Box 1034 GT

City: Grand Cayman

State:

Country: Cayman Islands

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

USSN 09/782,587 (now US Pat. 6,806,063)

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Joanne R. Pettithory

Internal Address: Maxygen, Inc.

Patent Dept.

Street Address: 515 Galveston Drive

City: Redwood City

State: CA Zip: 94063

Phone Number: (650) 298-5300

Fax Number: (650) 298-5446

Email Address: joanne.pettithory@maxygen.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 50-0990

Authorized User Name Joanne R. Pettithory

9. Signature:

Joanne R. Pettithory
Signature

Oct 13, 2005
Date

10/19/2005 BY: 00000010 500790 6806063

01 FC:802

40.00

Joanne R. Pettithory Reg. No. 42,995

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

JUL 30 2007

RESTATED ASSIGNMENT

WHEREAS, MAXYGEN APS, a corporation whose registered address is Agern Allé 1, 2970 Hørsholm, Denmark, hereinafter referred to as "*ASSIGNOR*," is an owner of the inventions described and set forth in the below-identified Patent Applications:

<u>Application No:</u>	<u>Filing Date</u>
US 09/782,587	February 12, 2001
US national phase of PCT/DK03/00276	April 29, 2003
US 60/184,036	February 22, 2000
US 60/241,916	October 18, 2000
US 60/376,679	April 30, 2002
US 60/456,547	March 20, 2003
US 60/479,708	June 19, 2003
US 60/479,642	June 19, 2003
US 60/479,780	June 19, 2003
US 60/500,854	September 05, 2003
US 60/414,836	September 30, 2002
US 60/526,326	December 01, 2003
AU 2001031535	February 12, 2001
AU national phase of PCT/DK03/00276	April 29, 2003
BR national phase of PCT/DK03/00276	April 29, 2003
CA 2,397,347	February 12, 2001
CA national phase of PCT/DK03/00276	April 29, 2003
CN 01804864.1	February 12, 2001
CN national phase of PCT/DK03/00276	April 29, 2003
DK PA 2000 00218	February 11, 2000
DK PA 2000 01558	October 18, 2000
DK PA 2002 00642	April 30, 2002
DK PA 2002 01453	September 30, 2002
DK PA 2004 00930	June 15, 2004
EP 01903611.0	February 12, 2001
EP 03717173.3	April 29, 2003
IN IN/PCT/2002/01373	February 12, 2001
IN national phase of PCT/DK03/00276	April 29, 2003
IL 150692	February 12, 2001
IL national phase of PCT/DK03/00276	April 29, 2003
JP 2001-558082	February 12, 2001
JP national phase of PCT/DK03/00276	April 29, 2003
KR national phase of PCT/DK03/00276	April 29, 2003
MX national phase of PCT/DK03/00276	April 29, 2003
NO 2002 3804	February 12, 2001
NZ 521257	February 12, 2001
NZ national phase of PCT/DK03/00276	April 29, 2003

JUL 30 2007

PCT/DK01/00094	February 12, 2001
PCT/DK03/00276	April 29, 2003
PCT/DK03/00632	September 26, 2003
PCT/DK2004/000193	March 22, 2004
PCT/DK2004/000428	June 18, 2004
PCT/DK2004/000585	September 02, 2004
PL P 362856	February 12, 2001
PL national phase of PCT/DK03/00276	April 29, 2003
RU 2002124129	February 12, 2001
RU national phase of PCT/DK03/00276	April 29, 2003
SG national phase of PCT/DK03/00276	April 29, 2003
ZA national phase of PCT/DK03/00276	April 29, 2003

WHEREAS, MAXYGEN HOLDINGS LTD., a Cayman Islands corporation, located c/o Close Brothers (Cayman) Limited at 103 South Church Street, P.O. Box 1034 GT, Grand Cayman, Cayman Islands, hereinafter referred to as "*ASSIGNEE*," wants to acquire an interest in the inventions and applications and in any Letters Patent and Registrations that may be granted on the same.

For good and valuable consideration, receipt of which is hereby acknowledged by *ASSIGNOR* and in consideration of the Purchase Agreement dated August 31, 2004, *ASSIGNOR* has assigned, and by these presents does assign to *ASSIGNEE* its right, title and interest in and to the Patent Applications and any division, renewal, continuation in whole or in part, or substitution of any of the preceding, the underlying inventions described therein, and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations that may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by *ASSIGNEE* and *ASSIGNEE*'s successors and assigns as fully and exclusively as it would have been held and enjoyed by *ASSIGNOR* had this assignment not been made, for the full term of any Letters Patent and Registrations that may be granted thereon and/or conversion, reissue, prolongation or extension thereof.

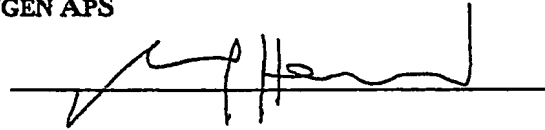
ASSIGNOR further agrees that *ASSIGNOR* will, without charge to *ASSIGNEE*, but at *ASSIGNEE*'s expense, (a) cooperate with *ASSIGNEE* in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as *ASSIGNEE* lawfully may request to obtain or maintain Letters Patent and Registrations for invention and improvements in any and all countries, and to vest title thereto in *ASSIGNEE*, or *ASSIGNEE*'s successors and assigns.

IN TESTIMONY WHEREOF, this Restated Assignment is executed this 21st day of September, 2005 with the agreement that it shall be effective as of August 31, 2004, and superceded in its entirety the prior Assignment executed as of August 31, 2004.

ASSIGNOR:

MAXYGEN APS

By: _____



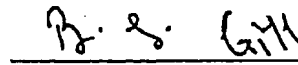
Name: Russell Howard

Title: Chairman of the Board of Directors

ASSIGNEE:

MAXYGEN HOLDINGS LTD.

By: _____



Name: Simba Gill

Title: President

September 21, 2005

Dr. Elliot Goldstein
Maxygen ApS
Agern Allé 1
2970 Hørsholm
Denmark

Re: Assignment of Patent Applications and Patents dated August 31, 2004

Dear Elliot:

This letter relates to that certain Assignment between Maxygen ApS and Maxygen Holdings Ltd. dated August 31, 2004 (the "Assignment"), relating to certain patent applications and patents in which Maxygen ApS held an ownership interest. Maxygen ApS and Maxygen Holdings Ltd. have identified certain typographical errors in the Assignment that they wish to correct. Accordingly, the parties have executed the Restated Assignment attached as Exhibit A hereto, with the intent that this shall merge, supercede and replace in its entirety the Assignment executed August 31, 2004.

The signatures below reflect the agreement by Maxygen ApS and Maxygen Holdings Ltd. to the foregoing.

By: _____

Maxygen ApS

By: _____

Maxygen Holdings Ltd.

Michael S. Rabson
Secretary

2005 20 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 31, 2006

PTAS

FEB 2006
RECEIVED
(INT PROSPERITY)



103103581A

JOANNE R. PETITHORY
MAXYGEN, INC.
PATENT DEPT.
515 GALVESTON DRIVE
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RECORDATION DATE: 10/17/2005

REEL/FRAME: 017093/0525
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MAXYGEN APS

DOC DATE: 08/31/2004

ASSIGNEE:

MAXYGEN HOLDINGS LTD.
1030 SOUTH CHURCH STREET
C/O CLOSE BROTHERS (CAYMAN)
LIMITED - P.O. BOX 1034 GT
GRAND CAYMAN, CAYMAN ISLANDS

SERIAL NUMBER: 09782587

PATENT NUMBER: 6806063

TITLE: FACTOR VII OR VIIA-LIKE MOLECULES

FILING DATE: 02/12/2001

ISSUE DATE: 10/19/2004

DOCKETED on/by 2/8/06/ cam
Atty. PA JEP
File # 0212.310
Due Date Ext
Final Date

JUL 30 2007

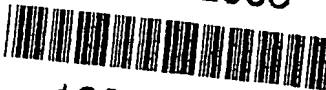
017093/0525 PAGE 2

TARA WASHINGTON, EXAMINER
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PUBLIC RECORDS DIVISION

JUL 30 2007

10-20-2005

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



10/17K

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Maxygen ApS

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) August 31, 2004

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Maxygen Holdings Ltd.

Internal Address: c/o Close Brothers (Cayman) Limited

Street Address: 103 South Church Street

P.O. Box 1034 GT

City: Grand Cayman

State: _____

Country: Cayman Islands

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

USSN 09/782,587 (now US Pat. 6,806,063)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Joanne R. Petithory

Internal Address: Maxygen, Inc.

Patent Dept.

Street Address: 515 Galveston Drive

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State: CA Zip: 94063

Phone Number: (650) 298-5300

Fax Number: (650) 298-5446

Email Address: joanne.petithory@maxygen.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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Authorized User Name Joanne R. Petithory

9. Signature:

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Signature

Date

Joanne R. Petithory

Reg. No. 42,995

Total number of pages including cover sheet, attachments, and documents:

4

Name of Person Signing

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

JUL 30 2007

ASSIGNMENT

WHEREAS, MAXYGEN APS, a corporation whose registered address is Agern Allé 1, 2970 Hørsholm, Denmark, hereinafter referred to as "ASSIGNOR," is the owner of all rights in certain new and useful improvements as described and set forth in the below-identified Patent Applications:

<u>Application No:</u>	<u>Filing Date</u>
US 09/782,587	February 12, 2001
US national phase of PCT/DK03/00276	April 29, 2003
US 60/184,036	February 22, 2000
US 60/241,916	October 18, 2000
US 60/376,679	April 30, 2002
US 60/456,547	March 20, 2003
US 60/479,708	June 19, 2003
US 60/479,642	June 19, 2003
US 60/479,780	June 19, 2003
US 60/500,854	September 05, 2003
US 60/414,836	September 30, 2003
US 60/526,326	December 01, 2003
AU 2001031535	February 12, 2001
AU national phase of PCT/DK03/00276	April 29, 2003
BR national phase of PCT/DK03/00276	April 29, 2003
CA 2,397,347	February 12, 2001
CA national phase of PCT/DK03/00276	April 29, 2003
CN 01804864.1	February 12, 2001
CN national phase of PCT/DK03/00276	April 29, 2003
DK PA 2000 00218	February 11, 2000
DK PA 2000 01558	October 18, 2000
DK PA 2002 00642	April 30, 2002
DK PA 2002 01453	September 30, 2002
DK PA 2004 00930	June 15, 2004
EP 01903611.0	February 12, 2001
EP 03717173.3	April 29, 2003
IN IN/PCT/2002/01373/CHE	February 12, 2001
IN national phase of PCT/DK03/00276	April 29, 2003
IL 150692	February 12, 2001
IL national phase of PCT/DK03/00276	April 29, 2003
JP 2001-558082	February 12, 2001
JP national phase of PCT/DK03/00276	April 29, 2003
KR national phase of PCT/DK03/00276	April 29, 2003
MX national phase of PCT/DK03/00276	April 29, 2003
NO 2002 3804	February 12, 2001
NZ 521257	February 12, 2001
NZ national phase of PCT/DK03/00276	April 29, 2003

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PCT/DK01/00094	February 12, 2001
PCT/DK03/00276	April 29, 2003
PCT/DK03/00632	September 26, 2003
PCT/DK2004/000193	March 22, 2004
PCT/DK2004/000428	June 18, 2004
PCT/DK2004/000585	September 02, 2004
PL P 362856	February 12, 2001
PL national phase of PCT/DK03/00276	April 29, 2003
RU 2002124129	February 12, 2001
RU national phase of PCT/DK03/00276	April 29, 2003
SG national phase of PCT/DK03/00276	April 29, 2003
ZA national phase of PCT/DK03/00276	April 29, 2003

WHEREAS, MAXYGEN HOLDINGS LTD., a Cayman Islands corporation, located c/o Close Brothers (Cayman) Limited at 103 South Church Street, P.O. Box 1034 GT, Grand Cayman, Cayman Islands, hereinafter referred to as "*ASSIGNEE*," wants to acquire an interest in the inventions and applications and in any Letters Patent and Registrations that may be granted on the same.

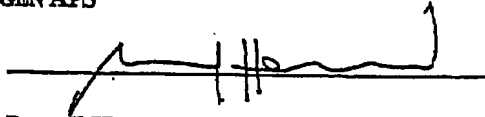
For good and valuable consideration, receipt of which is hereby acknowledged by *ASSIGNOR* and in consideration of the Purchase Agreement dated August 31, 2004, *ASSIGNOR* has assigned, and by these presents does assign to *ASSIGNEE* all right, title and interest in and to the Patent Applications and any division, renewal, continuation in whole or in part, or substitution of any of the preceding, the underlying inventions described therein, and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations that may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by *ASSIGNEE* and *ASSIGNEE's* successors and assigns as fully and exclusively as it would have been held and enjoyed by *ASSIGNOR* had this assignment not been made, for the full term of any Letters Patent and Registrations that may be granted thereon and/or conversion, reissue, prolongation or extension thereof.

ASSIGNOR further agrees that *ASSIGNOR* will, without charge to *ASSIGNEE*, but at *ASSIGNEE's* expense, (a) cooperate with *ASSIGNEE* in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as *ASSIGNEE* lawfully may request to obtain or maintain Letters Patent and Registrations for invention and improvements in any and all countries, and to vest title thereto in *ASSIGNEE*, or *ASSIGNEE's* successors and assigns.

IN TESTIMONY WHEREOF, Assignment is executed this 31st day of August, 2004

ASSIGNOR:

MAXYGEN APS

A handwritten signature in black ink, appearing to read 'Russell Howard', is written over a horizontal line.

Russell Howard

Chairman of the Board of Directors

ASSIGNEE:

MAXYGEN HOLDINGS LTD.

A handwritten signature in black ink, appearing to read 'Michael Rabson', is written over a horizontal line.

Name: ~~Simba Gill~~ Michael Rabson

~~President~~ Secretary

JUL 30 2007